

PRIVATE & CONFIDENTIAL

Maybank ATR Kim Eng Securities, Inc.
 Member: Philippine Stock Exchange, Inc. & SIPP
 17/F Tower One & Exchange Plaza Ayala Triangle, Ayala Avenue
 Makati City, Philippines

MAKETRADE T3 SERVICE ENROLMENT FORM

By signing this enrolment application for the MakeTrade T3 Service, I hereby confirm and agree to the terms and conditions set forth herein. I also confirm and acknowledge that I have been informed and made aware of the features of the MakeTrade T3 Service¹ and of the corresponding obligations and responsibilities I have therein. I further confirm and acknowledge that this document is a supplement to the MakeTrade Online Service Agreement (Service Agreement, for brevity) I have earlier signed and executed, and the provisions or conditions herein shall apply only to the extent that the provisions in the Service Agreement are in conflict herein.

The terms found in this document are as similarly defined in the Service Agreement, unless otherwise stated.

Terms and Conditions:

- a. I will maintain a total portfolio value² of at least **One Million Philippine Pesos (PHP1,000,000.00)** (the “Minimum Portfolio Value”) to continue availing of this service. Should my total portfolio value fall below the Minimum Portfolio Value, Maybank ATR Kim Eng may, at its sole and absolute discretion, discontinue the MakeTrade T3 Service. I agree to hold Maybank ATRKE free and harmless from any liability on account of the discontinuance of the MakeTrade T3 Service.
- b. I will settle/pay all outstanding balances in my account on or before the Settlement Date after any stock purchase.
- c. Pursuant to the pertinent provisions of the Securities Regulation Code (SRC) which requires full payment by the customer in a cash account of his/her purchases, or which should otherwise be settled within three (3) business days after the relevant trade date³ (“the Settlement Date”), I do hereby agree and authorize Maybank ATRKE, in accordance to its policies and procedures, to liquidate⁴ all stock purchases or any portion thereof that shall remain unpaid after the Settlement Date. I agree to hold Maybank ATRKE free and harmless from any liability on account of the liquidation.
- d. I shall pay Maybank ATRKE interest at the rate of 15% p.a. (exclusive of tax) on all unpaid outstanding balances in my account [after Liquidation], including any such cost or loss which may arise from the transaction after the Settlement Date.
- e. Notwithstanding any fees or costs as indicated above, and in accordance with Rule 50.3 of the Implementing Rules of the SRC, should a transaction be cancelled or otherwise remain unpaid or liquidated beyond the Settlement date, I am required to deposit sufficient funds in my account for the next 90 days, to cover or fund any succeeding purchase transaction prior to its execution.
- f. I shall pay Maybank ATR Kim Eng Securities, Inc. a commission fee at the rate of _____ on the amount of securities purchased or sold.

Below are my account details:

Account Name : _____

Account Number : _____

 Primary Account Holder
 (Signature over printed name)

 Secondary Account Holder
 (Signature over printed name)

 Tertiary Account Holder
 (Signature over printed name)

For Maybank ATR Kim Eng Securities Internal Use Only

Acknowledged by:

Approved by:

 Registered Salesman

 Head, Retail Brokerage

 President

 CFO

¹ **MakeTrade T3 Service** - a facility that will allow the account holder, through broker aided service, to purchase stocks beyond his/her cash balance while using his/her online account.

² **Total or Minimum Portfolio Value** - The total value of the account’s cash and stock position, based on the last traded prices.

³ **Trade Date** - The date in which the purchase/sell transaction has been executed.

⁴ **Liquidate** - The forced selling of any security held in the client portfolio.